



THE SCHOOL BOARD OF BROWARD COUNTY, FLORIDA

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Office of the Chief Auditor
Patrick Reilly, Chief Auditor
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February 2, 2015

Members of The School Board of Broward County, Florida
Members of The School Board Audit Committee
Mr. Robert W. Runcie, Superintendent of Schools

Ladies and Gentlemen:

During our review of the Resident on Campus Security (ROCS) Program, we requested documentation of all incidents (alarm activations) that occurred for the period from August 2013 through November 2014 for ROCS and Non-ROCS schools and received a database entitled Incident Report, which should have contained all incidents for all schools in the District. Based on this report that we received from the District's Alarm Monitoring Unit (AMU), we determined that there were 703 incidents for ROCS and Non-ROCS schools (77 and 626 respectively). Our report for the period reviewed is an accurate reflection of the documentation we received.

Subsequent to the issuance of the audit report, I received an email from the Chief of Broward District Schools Police Department that was forwarded from a staff member of the AMU which stated that the number of incidents (alarms activations) was understated in the audit report. AMU staff stated that they did not include any ROCS incidents on their Incident Report that were handled without local police being dispatched. For example, if the intrusion alarm was sounded at a ROCS School, the AMU staff would call the ROCS Officer. If the ROCS Officer was able to handle the incident, the incident was not entered into the Incident Report. They did not consider those incidents; instead they were simply categorized as "calls" on their handwritten Special Log/ROCS Report. They stated that the Incident Report was only to include when local police were dispatched, either to a ROCS or Non-ROCS school. After further review, we noted that their Incident Report, Special Log/ROCS Report and Daily Logs were inconsistent with their procedures for documenting incidents. For example, there were 51 of the 77 ROCS incidents listed on the Incident Report that were not reported on the Special Log/ROCS Report. We noted several instances where incidents were on the Incident Report, although local police had not been dispatched. We also noted incidents where local police were dispatched that were on the Daily Logs, but not on the Incident Report. Lastly, there were 2 months of activity missing from the Special Log/ROCS Report for the period from September 11, 2014 through November 7, 2014.

In an effort to consider these additional ROCS calls, which in my opinion, should have been identified as incidents in AMU's Incident Report, we are providing supplemental information that was obtained from the handwritten Special Log/ROCS Report. As mentioned above, these incidents were treated as "Calls", not incidents by the AMU staff, in cases where local police were not dispatched.

We have attached a schedule of Supplemental Information that analyzes the additional 149 calls on the Special Log/ROCS Report that were not considered incidents and not listed in the AMU's Incident Report. Of the 149 calls, 85 (57%) were categorized as "No Problem Found" or due to staff or maintenance personnel entering the school building without entering an access code or notifying AMU in advance. An additional 60 calls (40%) had missing information; therefore, it was not possible to determine the outcome of those calls. The remaining 4 calls (3%) included two calls that were categorized as fire alarm notices, one as an open door and one as a theft.

This supplemental information will be presented to the School Board at its February 18, 2015 meeting.

Sincerely,

Patrick Reilly, CPA
Chief Auditor
Office of the Chief Auditor

Supplemental Information - Analysis of Special Log/ROCS							
School Name	Incident Date	Time	Outcome	On Incident Report		Additional Incidents Not Included in Incident Report per School	On Incident Report - Not on Special ROCS Log
				Yes	No		
ROCS School Site #1	12/21/13	12:51?	Fire Department		X		
	1/1/14	7:42 PM	No Problem Found		X		
	4/1/14	12:21 AM	No Problem Found		X		
	5/17/14	4:20 PM	Staff		X		
Total						4	
ROCS School Site #2	N/A						
ROCS School Site #3	8/14/14		Missing Information		X		2
Total						1	
ROCS School Site #4	N/A						
ROCS School Site #5	N/A						
ROCS School Site #6	8/12/2013	3:17 AM	No Problem Found		X		1
	3/8/14	4:04 PM	No Problem Found		X		
	5/21/14	4:38 AM	Staff	X			
	7/11/14		Missing Information		X		
Total						3	
ROCS School Site #7	10/31/13	5:53?	No Problem Found		X		
	2/22/14	8:29?	Staff		X		
Total						2	
ROCS School Site #8	N/A						
ROCS School Site #9	1/19/14	8:30 PM	Door Open	X			
	3/25/14	6:03 AM	No Problem Found		X		
	4/15/14	11:00 PM	No Problem Found		X		
	7/9/14		Missing Information		X		
	7/11/14		Missing Information		X		
Total						4	
ROCS School Site #10	12/10/13	4:22 AM	No Problem Found		X		2
	12/14/13	12:18 PM	Staff		X		
	2/8/14	3:49?	Staff		X		
	4/15/14	12:45 AM	No Problem Found		X		
	4/15/14	2:10 AM	Fire Department		X		
	5/3/14	6:04 AM	Staff		X		
	5/5/14	3:44 AM	No Problem Found		X		

Supplemental Information - Analysis of Special Log/ROCS							
School Name	Incident Date	Time	Outcome	On Incident Report		Additional Incidents Not Included in Incident Report per School	On Incident Report - Not on Special ROCS Log
				Yes	No		
	5/31/14	11:25 AM	No Problem Found		X		
	7/19/14		Missing Information		X		
Total						9	
ROCS School Site #11	8/6/2013	9:17 PM	Staff		X		3
	8/9/2013	7:22 PM	Staff		X		
	10/19/2013	10:09?	Staff		X		
	10/31/13	4:30 AM	No Problem Found		X		
	12/28/13	10:53?	Staff		X		
	1/24/14		No Problem Found		X		
	2/1/14	7:40 PM	Staff	X			
	2/8/14	6:21 AM	No Problem Found	X			
	3/24/14	7:45 PM	Staff		X		
	4/5/14	12:35 PM	Staff		X		
	4/6/14	12:40?	Staff		X		
	4/13/14	10:59 PM	No Problem Found		X		
	4/27/14	4:53 PM	Staff	X			
	4/27/14	7:09 PM	Staff		X		
	4/27/14	9:30 PM	Staff		X		
	5/4/14	11:19 AM	No Problem Found		X		
	5/26/14	7:35 PM	No Problem Found	X			
	5/27/14	2:41 AM	No Problem Found		X		
	6/9/14	3:36 PM	Staff		X		
	6/9/14		Missing Information		X		
	6/19/14		Missing Information		X		
	7/25/14		Missing Information		X		
	8/1/14		Missing Information		X		
	8/8/14		Missing Information		X		
	9/5/14		Missing Information		X		
	9/8/14		Missing Information		X		
Total						22	
ROCS School Site #12	12/7/13	3:16 PM	Staff		X		5
	1/27/14	11:48 PM	Staff	X			
	7/24/14		Missing Information		X		

Supplemental Information - Analysis of Special Log/ROCS							
School Name	Incident Date	Time	Outcome	On Incident Report		Additional Incidents Not Included in Incident Report per School	On Incident Report - Not on Special ROCS Log
				Yes	No		
	8/7/14		Missing Information		X		
	8/11/14		Missing Information		X		
	8/15/14		Missing Information		X		
Total						5	
ROCS School Site #13	N/A						
ROCS School Site #14	3/13/14	1:12 AM	No Problem Found		X		2
	4/21/14	11:47 PM	No Problem Found		X		
	6/1/14		Missing Information		X		
	6/15/14		Missing Information		X		
	8/15/14		Missing Information		X		
	8/15/14		Missing Information		X		
	8/15/14		Missing Information		X		
	8/17/14		Missing Information		X		
	8/23/14		Missing Information		X		
	9/6/14		Missing Information		X		
Total						10	
ROCS School Site #15	8/13/2013	7:36 PM	No Problem Found		X		2
	5/6/14	1:10 AM	Staff		X		
	7/26/14		Missing Information	X			
	8/13/14		Missing Information	X			
Total						2	
ROCS School Site #16	11/27/13	4:01 AM	No Problem Found	X			3
	12/23/13	6:09 AM	No Problem Found	X			
	3/2/14	10:07?	No Problem Found		X		
	4/13/14	3:58 PM	No Problem Found	X			
	5/4/14	3:05 AM	Staff		X		
	5/10/14	4:51 PM	No Problem Found		X		
	5/18/14	9:04 AM	No Problem Found		X		

Supplemental Information - Analysis of Special Log/ROCS							
				On Incident Report			
School Name	Incident Date	Time	Outcome	Yes	No	Additional Incidents Not Included in Incident Report per School	On Incident Report - Not on Special ROCS Log
	8/6/14		Missing Information		X		
Total						5	
ROCS School Site #17	8/10/2013	8:11 AM	Staff		X		4
	10/19/2013	9:02 AM	Staff		X		
	10/22/2013	12:09?	Staff		X		
	11/2/13	11:15 PM	No Problem Found		X		
	11/15/13	1:30 PM	Staff		X		
	11/23/13	12:06 AM	No Problem Found		X		
	11/23/13	8:30 AM	Staff	X			
	1/11/14	3:04 PM	Staff		X		
	1/18/14	2:16?	No Problem Found		X		
	1/26/14	12:40 AM	No Problem Found		X		
	2/1/14	6:08 AM	Staff		X		
	2/15/14	10:30 AM	Staff	X			
	4/5/14	1:11 PM	No Problem Found		X		
	4/6/14	9:49 PM	No Problem Found		X		
	4/26/14	7:53 AM	No Problem Found		X		
	4/27/14	9:18?	Theft		X		
	5/17/14	8:45 AM	No Problem Found		X		
	5/31/14	8:29 AM	No Problem Found		X		
	6/8/14	9:04 AM	Staff		X		
	6/8/14		Missing Information		X		
	6/30/14		Missing Information		X		
	7/17/14		Missing Information		X		
	7/19/14		Missing Information		X		
	7/20/14		Missing Information		X		
	7/22/14		Missing Information		X		
	7/28/14		Missing Information		X		
	8/2/14		Missing Information		X		
	8/23/14		Missing Information		X		
Total						26	
ROCS School Site #18	N/A						2

Supplemental Information - Analysis of Special Log/ROCS							
School Name	Incident Date	Time	Outcome	On Incident Report		Additional Incidents Not Included in Incident Report per School	On Incident Report - Not on Special ROCS Log
				Yes	No		
ROCS School Site #19	N/A						
ROCS School Site #20	9/10/14		Missing Information		X		
Total						1	
ROCS School Site #21	12/15/13	12:39 PM	No Problem Found	X			
	12/17/13	1:50 AM	No Problem Found	X			
	6/24/14		Missing Information		X		
	6/27/14		Missing Information		X		
Total						2	
ROCS School Site #22	11/16/13	10:01?	No Problem Found		X		2
	12/7/13	5:26 AM	No Problem Found		X		
	1/18/14	8:28 AM	No Problem Found		X		
	3/22/14	9:10?	No Problem Found		X		
Total						4	
ROCS School Site #23	3/14/14	12:30 AM	No Problem Found		X		
	6/22/14		Missing Information		X		
Total						2	
ROCS School Site #24	1/11/14	7:33 AM	No Problem Found		X		
	5/25/14	10:11 PM	No Problem Found		X		
	7/2/14		Missing Information		X		
	7/10/14		Missing Information		X		
	7/25/14		Missing Information		X		
Total						5	
ROCS School Site #25	3/27/14	12:03 AM	Staff		X		
Total						1	
ROCS School Site #26	8/20/14		Missing Information		X		
Total						1	
ROCS School Site #27	1/24/14	8:09 PM	No Problem Found		X		
	4/22/14	9:05 PM	No Problem Found		X		
Total						2	
ROCS School Site #28	3/15/14	3:29 AM	No Problem Found		X		5
	3/26/14	6:52 PM	Staff		X		

Supplemental Information - Analysis of Special Log/ROCS

School Name	Incident Date	Time	Outcome	On Incident Report		Additional Incidents Not Included in Incident Report per School	On Incident Report - Not on Special ROCS Log
				Yes	No		
	4/6/14	9:54?	Staff		X		
	6/21/14		Missing Information		X		
	6/21/14		Missing Information		X		
	8/3/14		Missing Information		X		
Total						6	
ROCS School Site #29	8/12/2013	8:15 PM	Staff		X		6
	12/7/13	3:30 PM	No Problem Found	X			
	1/12/14	2:05?	Staff		X		
	1/20/14	9:02 PM	No Problem Found		X		
	2/22/14	9:36?	No Problem Found		X		
	6/8/14	1:14 PM	No Problem Found		X		
	6/8/14		Missing Information		X		
	6/14/14		Missing Information		X		
	7/12/14		Missing Information		X		
	8/11/14		Missing Information		X		
	8/12/14		Missing Information	X			
	8/12/14		Missing Information		X		
	8/30/14		Missing Information		X		
Total						11	
ROCS School Site #30	4/11/14	12:48 AM	No Problem Found		X		3
	5/24/14	12:19?	Door Open		X		
	6/1/14		Missing Information		X		
	6/4/14	11:58 PM	No Problem Found		X		
	6/10/14	6:51 PM	Staff	X			
	6/10/14		Missing Information		X		
	9/6/14		Missing Information	X			
	6/4/14		Missing Information		X		
Total						6	
ROCS School Site #31	11/7/13	1:04 AM	No Problem Found		X		1

Supplemental Information - Analysis of Special Log/ROCS

				On Incident Report			
School Name	Incident Date	Time	Outcome	Yes	No	Additional Incidents Not Included in Incident Report per School	On Incident Report - Not on Special ROCS Log
	1/11/14	5:04 AM	No Problem Found	X			
	1/11/14	6:15 AM	No Problem Found	X			
	5/21/14	3:15 AM	No Problem Found		X		
	5/24/14	1:58 AM	No Problem Found		X		
	5/31/14	9:38 PM	No Problem Found		X		
	7/3/14		Missing Information		X		
	7/17/14		Missing Information		X		
	7/30/14		Missing Information		X		
	8/17/14		Missing Information		X		
Total						8	
ROCS School Site #32	10/26/2013	12:30pm	No Problem Found	X			8
	12/1/13	10:54?	Staff		X		
	12/21/13	9:03 AM	Fire Department	X			
	1/18/14	9:05 PM	No Problem Found	X			
	3/16/14	12:43?	No Problem Found		X		
	3/25/14	6:14 PM	Staff		X		
	5/17/14	8:23?	No Problem Found		X		
	8/3/14		Missing Information		X		
	8/24/14		Missing Information	X			
	9/6/14		Missing Information		X		
	9/10/14		Missing Information		X		
Total						7	
Grand Total				26		149	51